

**DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION
USING AN APPLICATION DATA SHEET (37 CFR 1.76)**

Title of Invention

**METHODS AND COMPOSITIONS FOR DEVELOPING SPORE
DISPLAY SYSTEMS FOR MEDICINAL AND INDUSTRIAL
APPLICATIONS**

As the below named inventor(s), I/we declare that:

COPY OF PAPERS
ORIGINALLY FILED

This declaration is directed to:

The attached application, or

X Application No. 10/028,247, filed on December 19, 2001,

☐ as amended on _____ (if applicable);

I/we believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought;

I/we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;

I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including material information which became available between the filing date of the prior application and the National or PCT International filing date of the continuation-in-part application, if applicable; and

All statements made herein of my/our own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.

FULL NAME OF INVENTOR(S)

Inventor 1 Stanley Goldman Date: _____

Signature: _____ Citizen of: United States

Inventor 2 Stephanie J. Lathrop Date: X 3/14/02

Signature: X [Signature] Citizen of: Australia

Inventor 3 Pascal F. Longchamp Date: _____

Signature: _____ Citizen of: Switzerland

Inventor 4 Robert G. Whalen Date: _____

Signature: _____ Citizen of: United States

☐ Additional inventors are being named on form(s) attached hereto.

Burden Hour Statement: This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is used by the public to file (and the PTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 1 minute to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office.



10028247-033520US

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FULL NAME OF INVENTOR(S)

Inventor 1 Stanley Goldman

Date:

2/28/02

Signature: [Signature]

Citizen of:

United States

Inventor 2 Stephanie J. Lathrop

Date:

Signature: _____

Citizen of:

Australia

Inventor 3 Pascal F. Longchamp

Date:

Signature: _____

Citizen of:

Switzerland

Inventor 4 Robert G. Whalen

Date:

2-25-02

Signature: [Signature]

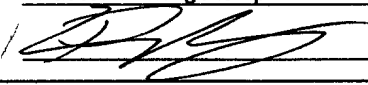
Citizen of:

United States

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This declaration is directed to:			
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X Application No. 10/028,247, filed on December 19, 2001,			
<input type="checkbox"/> as amended on _____ (if applicable);			
I/we believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought;			
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I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including material information which became available between the filing date of the prior application and the National or PCT International filing date of the continuation-in-part application, if applicable; and			
All statements made herein of my/our own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.			
FULL NAME OF INVENTOR(S)			
Inventor 1	<u>Stanley Goldman</u>	Date:	_____
Signature:	_____	Citizen of:	<u>United States</u>
Inventor 2	<u>Stephanie J. Lathrop</u>	Date:	_____
Signature:	_____	Citizen of:	<u>Australia</u>
Inventor 3	<u>Pascal F. Longchamp</u>	Date:	<u>02.12.02</u>
Signature:		Citizen of:	<u>Switzerland</u>
Inventor 4	<u>Robert G. Whalen</u>	Date:	_____
Signature:	_____	Citizen of:	<u>United States</u>
<input type="checkbox"/> Additional inventors are being named on form(s) attached hereto.			

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Please type a plus sign (+) inside this box →



PTO/SB/81 (02-01)

Approved for use through 10/31/2002. OMB 0651-0035
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

	Application Number	10/028,247
	Filing Date	December 19, 2001
	First Named Inventor	Goldman, et al.
	Title	METHODS AND COMPOSITIONS FOR DEVELOPING SPORE DISPLAY SYSTEMS FOR MEDICINAL AND INDUSTRIAL APPLICATIONS
	Group Art Unit	1651
	Examiner Name	not yet assigned
	Attorney Docket Number	18097A-033520US

I hereby appoint:

☒ Practitioners at Customer Number

20350

Place Customer
Number Bar Code
Label here

☒ Practitioner(s) named below:

Name	Registration Number
Norman J. Kruse	35,235
Sharon M. Fujita	38,459
Joanne R. Petithory	42,995
Margaret A. Powers	39,804
Christopher M. Holman	40,021

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please change the correspondence address for the above-identified application to:

☐ The above-mentioned Customer Number.

OR

☐ Practitioners at Customer Number

☐ Firm or
Individual Name

Address

Address

City

State

ZIP

Country

Telephone

Fax

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.

Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

SIGNATURE of Applicant or Assignee of Record

Name Michael S. Rabson, General Counsel and Senior Vice President

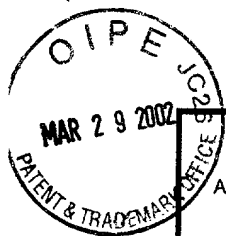
Signature

Date

MARCH 15, 2002

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☐ *Total of forms are submitted.



STATEMENT UNDER 37 CFR 3.73(b)

COPY OF PAPERS
ORIGINALLY FILED

Applicant/Patent Owner: Goldman, et al.

Application No./Patent No.: 10/028,247

Filed/Issue Date: December 19, 2001

Entitled: METHODS AND COMPOSITIONS FOR DEVELOPING SPORE DISPLAY SYSTEMS FOR MEDICINAL AND INDUSTRIAL APPLICATIONS

Maxygen, Inc., a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of an undivided part interest

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.8]

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

3-15-2002

Date

Signature

Michael S. Rabson

Typed or printed name

General Counsel and Senior Vice President

Title

Caution: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

ASSIGNMENT OF PATENT APPLICATION

JOINT

WHEREAS, Stanley Goldman of 760 Rosewood Drive, Walnut Creek, CA 94596; Stephanie J. Lathrop of 1000 Escalon Drive Building J-2079, Sunnyvale, CA 94085; Pascal F. Longchamp of 1866 West Bayshore Road, #B, East Palo Alto, CA 94303; Robert G. Whalen of 1200 East Hillsdale Blvd., #108, Foster City, CA 94404; hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified application for United States Letters Patent:

Title of Invention: METHODS AND COMPOSITIONS FOR DEVELOPING
 SPORE DISPLAY SYSTEMS FOR MEDICINAL AND
 INDUSTRIAL APPLICATIONS

Filing Date: December 19, 2001

Application No.: 10/028,247; and

WHEREAS, Maxygen, Inc., a corporation of the state of California, located at 515 Galveston Drive, Redwood City, CA, 94063, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on the same;

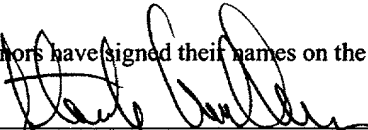
For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

Assignors hereby authorize and request Townsend and Townsend and Crew LLP, Two Embarcadero Center, 8th Floor, San Francisco, CA 94111-3834, to insert herein above the application number and filing date of said application when known.


IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

Dated: 2/28/02


Stanley Goldman

SIGNATURE WITNESSED BY:

Date: 2/28/02


Signature of Witness

Dated: _____

Stephanie J. Lathrop

SIGNATURE WITNESSED BY:

Date: _____

Signature of Witness

Dated: _____

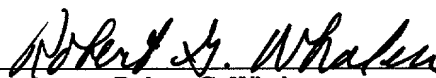
Pascal F. Longchamp

SIGNATURE WITNESSED BY:

Date: _____

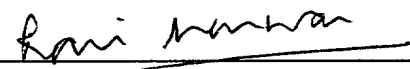
Signature of Witness

Dated: X 2-25-02


Robert G. Whalen

SIGNATURE WITNESSED BY:

Date: X 2/25/02


Signature of Witness

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

Dated: _____

Stanley Goldman

SIGNATURE WITNESSED BY:

Date: _____

Signature of Witness

Dated: _____

Stephanie J. Lathrop

SIGNATURE WITNESSED BY:

Date: _____

Signature of Witness

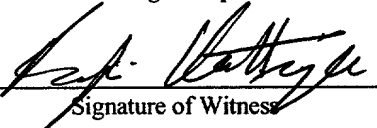
Dated: 02.12.02



Pascal F. Longchamp

SIGNATURE WITNESSED BY:

Date: 02-12-02



Signature of Witness

Dated: _____

Robert G. Whalen

SIGNATURE WITNESSED BY:

Date: _____

Signature of Witness

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Dated: _____

Stanley Goldman

SIGNATURE WITNESSED BY:

Date: _____

Signature of Witness

Dated: X 3/14/02

X S. Lathrop
Stephanie J. Lathrop

SIGNATURE WITNESSED BY:

Date: X 3/14/02

X [Signature]
Signature of Witness

Dated: _____

Pascal F. Longchamp

SIGNATURE WITNESSED BY:

Date: _____

Signature of Witness

Dated: _____

Robert G. Whalen

SIGNATURE WITNESSED BY:

Date: _____

Signature of Witness